

## Accountholder Questionnaire for Investment in LLC/LP

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You have directed us to invest a portion of the funds in your self-directed IRA in a closely-held venture. In order to follow these instructions, you must complete the following questionnaire:

1. Does the transaction require you to make additional investments, capital contributions or loans after the initial investment? Yes  No
2. If the transaction requires additional investments, capital contributions or loans and you fail to put up additional money, would you lose your entire investment? Yes  No
3. Are the IRA funds invested in an enterprise in which you have, or will have, some other relationship, such as current owner, employee, creditor, director or officer? Yes  No
4. Does the transaction involve the sale or exchange, or leasing of any property between the IRA and a "disqualified person" (*see* definition on page 2, below)? Yes  No
5. Does the transaction involve the lending of money or other extension of credit between the IRA and a "disqualified person"? Yes  No
6. Does the transaction involve the furnishing of goods, services or facilities between the IRA and a "disqualified person"? Yes  No
7. Does the transaction involve the transfer to a "disqualified person" or the use by any "disqualified person" of any of the income or assets of the IRA? Yes  No
8. Does the transaction involve any dealings by you with the assets of the IRA for your own interest or own account? This would include items such as the receipt of personal benefits outside the IRA in the form of compensation, the right to use property and the like. Yes  No
9. Will you receive any fees, commissions or other form of compensation from any third party dealing with the IRA in connection with this transaction? Yes  No
10. Does the LLC/LP hold or plan to hold any prohibit assets? (Ex. Life insurance contract, collectibles) Yes  No

LLC/LP Name:

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LLC/LP Tax ID. Number and Registration State:

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**CERTIFICATION AND SIGNATURE**

*[initial each box and sign below]*

**Initial**

I represent and warrant that the answers given above are true and correct.

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I acknowledge that IBC Trust Service may rely on my answers to this Questionnaire, may accept my answers as true and accurate and has no obligation to investigate or inquire as to the accuracy of my answers.

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I acknowledge that if I answered "yes" to any question above, I cannot use my self-directed IRA with IBC Trust Service to make the investment.

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If I answered "no" to all the above questions and it is later determined that my answer is incorrect, I acknowledge and agree that IBC Trust Service shall not be responsible for any loss, cost, expense, judgments, penalties or taxes I incur in connection with the investment, and I agree to indemnify and hold IBC Trust Service harmless therefrom.

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I acknowledge that IBC Trust Service has no responsibility for my decision to invest in the transaction and shall not be responsible for any losses I may suffer as a result of having entered into the transaction.

\_\_\_\_\_

I certify under penalty of perjury that the foregoing is true and correct.

Name: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**DEFINITION OF DISQUALIFIED PERSON**

A disqualified person is any one of the following:

- You
- An individual providing services to the IRA, such as an investment advisor, accountant, attorney, etc.
- Your spouse, parents or grandparents, children (whether natural or adopted), grandchildren or the spouse of a child or grandchild
- A corporation, partnership, trust or estate in which you have a 50% or greater interest
- An officer, director, 10% or more shareholder or a highly compensated employee (*i.e.*, a person making \$100,000 or more) of any such entity
- A 10% or more partner or joint venturer of any such entity